

12-02-01

Identifier

## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No. KLR 7146.084

First Inventor or Application

Jagtar Singh Saroya

WAVE PLATE MOUNTING Title

Express Mail Label No. EL619333094US

S	Gee MPE		PPLICATION ELEM 00 concerning utility pa		Commissioner for Patents & Trademarks ADDRESS TO: Box Patent Application Washington, D.C. 20231						
1.			ittal Form (e.g. PTO		5.   Microfiche Computer Program (Appendix)						
2.	⊠ Spe	Specification  Total pages 17 6. Nucleotide and/or (if applicable, all nec						· Amino Acid Sequence Submission cessary)			
	(preferred arrangement set forth below) - Descriptive Title of the Invention					a.  Computer readable copy					
	- C	ross Refe	erences to Related A	b.  Paper copy (identical to computer copy)							
			Regarding Federally o Microfiche Append		searcn	c.   Statement verifying identity of above copies					
			of the Invention ary of the Invention			ACCOMPANYING APPLICATION PARTS					
	- Bri - De	ief Descri <sub>l</sub> tailed De	ption of the Drawing	s (if filed)		7. 🛭 Assignme	ent Papers	(cove	r she	eet & document(s))	
		aim(s) estract of t	ne Disclosure				☑ 37 CFR §3.73(b) Statement □ Power of Attorney when there is an assignee				
						9.   English tr	ranslation	docum	ent	(if applicable)	
3.	☑ Drav	wing(s) (3	35 USC 113)	[Total Pages	3	10. ☐ Informati	on Disclos	ure		☐ Copies of IDS Citations	
						Statem 1449	ent (IDS)	PTO			
4.	Oath o	r Declara	tion	[Total Pages	2	11. ∐Prelimina	rv Amend	ment			
	a. 🛚	Newly e	xecuted (original or		,						
	b. Copy from a prior application (37 CFR §1.63(d))  (for continuation/divisional with Box 16 completed)					12. ⊠ Return Receipt Postcard (MPEP 503) (should be specifically itemized)					
I. Deletion of Inventor(s)  Signed statement attached deleting inventor(s)  named in the prior application,  see 37 CFR §§1.53(d)(2) and 1.33(b)						13. ☐ *Small Entity ☐ Statement filed in prior application.  (PTO/ sb/09-12) Status still proper and desired.  14. ☐ Certified Copy of Priority Document(s)  (if foreign priority is claimed)					
en ex	tity fees	, a small	& 13: In order to be entity statement is real a prior application	equired (37 CFI	15. ☐ Other						
	355	NUNITAC	IG APPLICATION, o	check appropriate	box, and su	pply the requisite in	formation be	elow an	d in a	preliminary amendment	
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.											
Prior application information: Examiner Group No./Art Unit											
Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.											
- 111	e incorpo	radori carr	only be relied upon who					а аррію	ation	parts.	
17. CORRESPONDENCE ADDRESS  ☐ Customer Number or Bar Code Label  (Insert and the second label by the seco											
Customer Number or Bar Code Label  {Insert customer number or attach bar code label here} or Correspondence address below  Name   Kevin L. Russell											
Ad	Address 601 SW Second Ave., Suite 1600										
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N	lame (pr	int type)	Kevin L. Russell		Registration No.			38,	292		
Signature			KNII				Date		January 31, 2001		

Signature

## **FEE TRANSMITTAL** for FY 2000

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

N/A Application Number January 31, 2001 Filing Date First Named Inventor Jagtar Singh Saroya N/A Examiner Name N/A Group/ Art Unit KLR 7146.084

Complete If Known

See 37 C.F.R. §§ 1.27 and 1.28. \$1,008 TOTAL AMOUNT OF PAYMENT Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check one) 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge the arge Entity Small Entity indicated fees and credit any over payments to: Fee Paid Deposit Account Number 03-1550 Fee Fee Fee Description Code Code (\$) (\$) Chernoff Vilhauer McClung & Stenzel Deposit Account Name 65 Surcharge - late filing fee or oath 105 130 205 ☑ Charge any additional fee required under 37 CFR 1.16 & 1.17 25 Surcharge-late provisional filing fee or cover 127 50 227 139 130 139 130 Non-English specification 2. 
Payment Enclosed 147 2,520 147 2,520 For filing a request for reexamination 920\* 920\* Requesting publication of SIR prior to Examiner 112 112 ☐ Other ☑ Check ☐ Money Order action 1840\* Requesting publication of SIR after Examiner **FEE CALCULATION** 1840\* 113 113 action 115 110 215 55 Extension for reply within first month . BASIC FILING FEE 380 216 190 Extension for reply within second month 116 Small Entity Large Entity 435 Extension for reply within third month 870 217 Fee Fee Description Fee Paid 117 Fee Fee Fee Code (\$) Code (\$) 680 Extension for reply within fourth month 118 1,360 218 690 1.850 228 925 Extension for reply within fifth month Utility filing fee 128 101 690 201 345 150 Notice of Appeal Design filing fee 119 300 219 106 310 206 155 150 Filing a brief in support of an appeal Plant filing fee 120 300 220 107 480 207 240 130 Request for oral hearing 260 221 108 690 208 345 Reissue filing fee 121 1,510 Petition to institute a public use proceeding Provisional filing fee 138 1.510 138 114 214 55 Petition to revive - unavoidable SUBTOTAL (1) \$690 140 110 240 605 Petition to revive - unintentional 2. EXTRA CLAIM FEES 141 1,210 241 605 Utility issue fee (or reissue) Fee from Fee 142 1,210 242 below Paid 215 Design issue fee Extra Claims 143 430 243 290 Plant issue fee Total Claims -20\* 11 18 198 144 580 244 130 Petitions to the Commissioner Indep. Claims -3\* 2 40 80 122 130 122 50 Petitions related to provisional applications 123 50 123 Multiple Dependent 240 Submission of Information Disclosure Statement 126 240 126 or number of previously paid, if greater. For reissues, see below. \$40 40 Recording each patent assignment per property 561 40 581 Large Small Entity (times number of properties) Entity 345 Filing a submission after final rejection Fee Description 146 690 246 Fee Fee Fee Fee (37 C.F.R. 1.129(a)) Code Code (\$) (\$) 345 For each additional invention to be examined 149 690 249 (37 C.F.R. 1.129(b)) Claims in excess of 20 103 18 203 9 102 78 202 39 Independent claims in excess of 3 Other (specify) 130 Multiple dependent claim, if not paid 104 260 204 \*Reissue independent claims over Other (specify) 109 78 209 39 original patent 18 \*Reissue claims in excess of 20 and Reduced by Basic Filing Fee Paid 110 210 over original patent SUBTOTAL (3) \$40 \$278 SUBTOTAL (2) Complete (if applicable) SUBMITTED BY (503) 227-5631 Registration Telephone Name (print type) 38.292 No. Date January 31, 2001